Encounter Data System User Group

August 21, 2012

Agenda

- Introduction
- Session Guidelines
- CMS Updates
- EDPS Updates
  - Incident Tracking and Known Issues
  - EDPS Reports
  - DME Testing Update
  - EDS Implementation Next Steps
- Questions and Responses
- Closing Remarks

Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS)

MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA)
  - Private Fee-for-Service Plans (PFFS)
  - Religious Fraternal Benefit Plans (RFBs)
  - Provider Sponsored Organizations (PSO)

Session Guidelines

- This is a one (1) hour Encounter Data User Group for MAOs and other entities
- If time allows, we will respond to questions

CMS Updates

End-to-End Certification Status

Certification Status as of 08/17/2012 *

	Professional	Institutional
Total Submitters	219	219
Number of Submitters Certified	192	56
Certified MAOs and Other Entities Represented	546	212

*These figures do not include PACE Plans

Production Submission Status

Production Submission Status:
May 1, 2012 – August 20, 2012*

PROFESSIONAL ENCOUNTER DATA SUBMISSION	
Total Encounters Processed	**3,656,314**
Total Encounter Accepted	**3,517,237**
Percentage Accepted	**96%**
Total Encounters Rejected	**139,077**
Percentage Rejected	**4%**

*These figures do not include PACE Plans

Front-End Certification Compliance Action

- The EDFES testing certification deadline was effective January 3, 2012 for Professional encounter data
- Letters will be distributed by September 7, 2012 to MAOs and other entities that are not compliant
  - These MAOs and other entities are asked to submit any issues, inquiries, or concerns regarding completion of testing and/or certification
  - Indicate the actions your organization intends to perform in order to achieve EDFES certification
- CMS will coordinate with MAOs and other entities to successfully achieve certification

System Volume/ Stress Testing

System Volume/Stress Testing

- Professional files were collected to perform system volume/stress testing on the EDS, which included 147,279 encounters
- Encounters in these files passed CEM level editing and were sent to the EDPS on August 15, 2012
- EDFES edits reported on 999 and 277CA reports were due to errors in submission of:
  - Principal diagnosis codes
  - Diagnosis code
  - Future Date
  - Amount must not be equal to Zero
- Files are currently being processed by the EDPS

EDPS Updates

EDS
Incident Tracking and Known Issues

Incident Tracking Tool

- As of August 20, 2012, 257 incident inquiries have been received
  - 86% are resolved (219 incidents) and the inquirers notified
  - The remaining 14% (38 incidents) are related to incidents that are being resolved or are under further investigation and analysis
- MAOs and other entities are encouraged to submit incident reports when edits are received on MAO-002 reports

Incident Tracking Tool

- Common or related incidents can be compiled and submitted in one (1) incident report, which will allow the EDS Team to quickly identify, review and assess the issues
- Protected Health Information (PHI) must not be submitted through the Incident Tracking Tool
- Submitters are asked to provide the ICN and include in the description the line number and edit associated with the issue
- If there is more than one (1) ICN associated with a single incident, please submit only one (1) incident report for all associated ICNs

Encounter Data

User Group

Thursday, August 21, 2012
3:00 P.M. – 4:00 P.M. ET

Incident Tracking – Known System Issues

- For efficient submission and processing of Institutional encounters, the EDPS has implemented additional system enhancements:

Edit #	Edit Description	Test Date	Production Date
02112	Date of Service Is After Beneficiary Date of Death	8/09/2012	8/23/2012
17285	Billed Lines Require Charges (Few Exceptions)	8/14/2012	8/23/2012
17295	Inpatient Claim Missing Revenue Code Or Outpatient Claim Missing Either Revenue Code Or HCPCS Code	8/09/2012	8/23/2012
17590	Value Code – Code 05 Not Present Or Conflicts With Dollar Amount	8/09/2012	8/23/2012
18010	Age Conflict With Diagnosis	8/09/2012	8/23/2012
18145	Diagnosis – Unacceptable Code	8/09/2012	8/23/2012

A. Reddix & Associates

CENTERS FOR MEDICARE & MEDICAID SERVICES

Incident Tracking – Known System Issues

Edit #	Edit Description	Test Date	Production Date
20530	Zip Code Cannot Be 0 or Blank For Ambulance Pickup	8/09/2012	8/23/2012
20835	Service Line Date Of Service Must Be Valid And Within Header Date of Service	8/09/2012	8/23/2012
98325	Exact Duplicate of a Service Line within this Claim or a Previously Priced Claim	8/07/2012	8/23/2012
18120	ICD-9 Diagnosis Code Error	Pending	Pending
20505	Accurate Ambulance HCPCS and Revenue Code Required	Pending	Pending

EDPS Reports Updates

EDPS Reports Updates

- Effective September 4, 2012, the following EDPS reports will contain enhancements to more effectively interpret the status of encounters submitted to the EDS
  - MAO-001 Encounter Data Duplicates Report (new report)
  - MAO-002 Encounter Data Processing Status Report

Enhancement	Enhancement Description
Submission Record Type	• “PRO” (Professional) • “INS” (Institutional) • “DME” (DME)
Submission File Type	• “TEST” (Test Data) • “PROD” (Production Data)
Contract ID	• Flat File – provided on detail record for each Contract ID submitted in file • Formatted – Will appear in header. A new report page will begin for each submitted Contract ID in file, followed by encounter detail lines for that specific contract

MAO-001 Encounter Data Duplicates Report

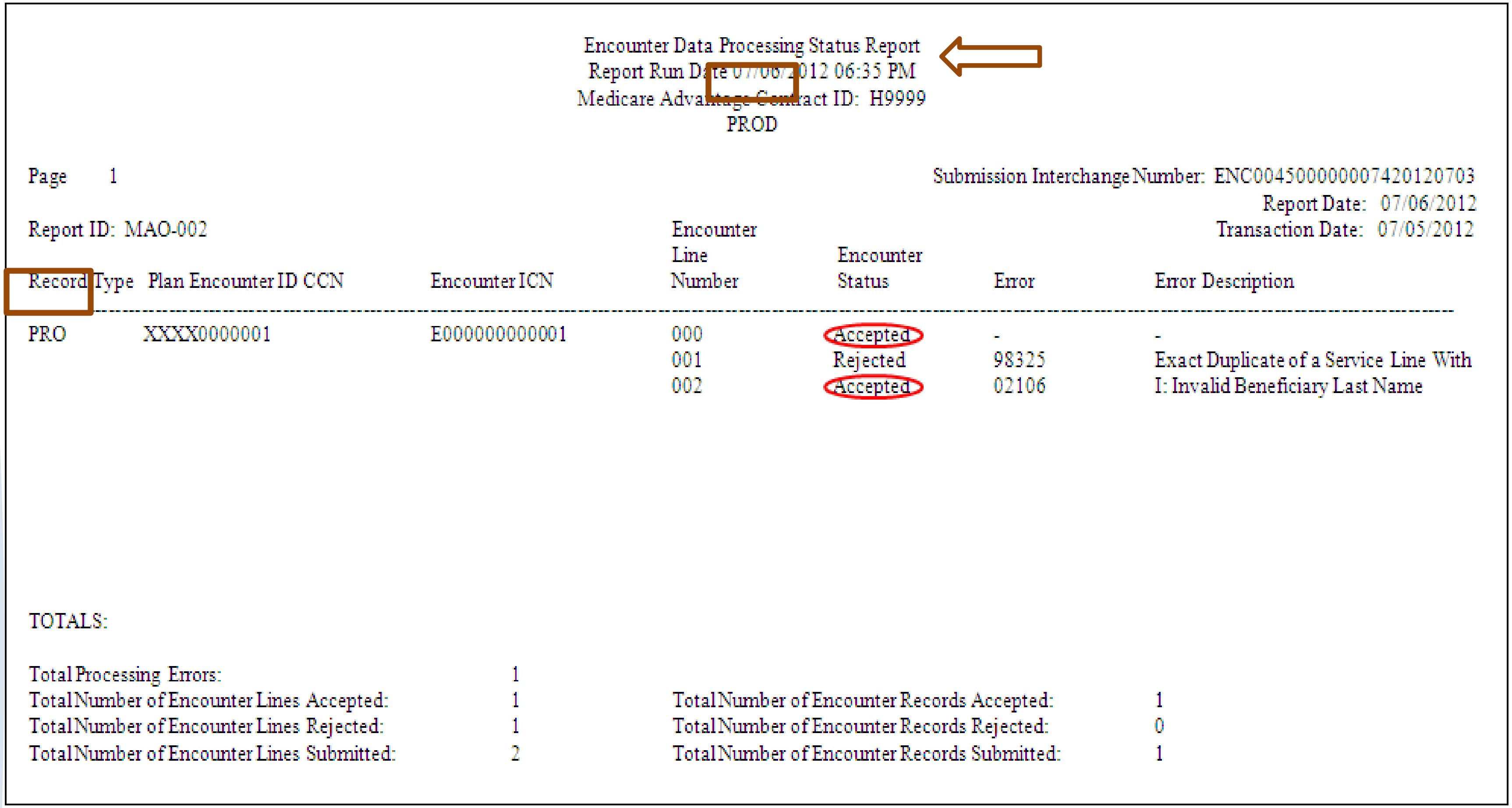
- The MAO-001 Encounter Data Duplicates report provides detailed information on encounters generating edit 98325 – Exact Duplicate of a Service Line within this Claim or a Previously Priced Claim
- Edit 98325 may be generated for one (1) or both of the following two (2) levels of duplicate errors:
  - Service line level within an encounter
  - Encounter level to another previously accepted and stored encounter

MAO-002 Encounter Data Processing Status Report – Flat File

HEADER RECORD (There is only one header per record per file.)				
Position(s)	**Item**	**Notes**	**Length**	**Format**
74	Delimiter		1	Uses the * character value
75-104	Submission Interchange Number	Interchange Sender ID (ISA06) + Interchange Control Number (ISA13) + Interchange Date (ISA09)	30	Alpha Numeric
105	Delimiter		1	Uses the * character value
106-108	Record Type	Value is “INS”, “PRO”, “DME”	3	Alpha Numeric
109	Delimiter		1	Uses the * character value
110-113	File Type	Value is “TEST” or “PROD”	4	Alpha Numeric

DETAIL RECORD				
Position(s)	Item	Notes	Length	Format
11-15	Medicare Advantage Contract ID	Medicare Contract ID assigned to the MA Plan	5	Alpha Numeric
105-112	Encounter Status	Value is "Accepted" or "Rejected"	8	Alpha Numeric
120-159	Error Description	Description associated with error code identified.	40	Alpha Numeric

MAO-002 Encounter Data Processing Status Report – Formatted



Encounter Data Processing Status Report
Report Run Date 07/06/2012 06:35 PM
Medicare Advantage Contract ID: H9999
PROD

Page 1

Submission Interchange Number: ENC004500000007420120703
Report Date: 07/06/2012
Transaction Date: 07/05/2012

Report ID: MAO-002

Record Type	Plan Encounter ID CCN	Encounter ICN	Encounter Line Number	Encounter Status	Error	Error Description
PRO	XXXX0000001	E000000000001	000	Accepted	-	-
			001	Rejected	98325	Exact Duplicate of a Service Line With
			002	Accepted	02106	I: Invalid Beneficiary Last Name

TOTALS:

Total Processing Errors:	1		
Total Number of Encounter Lines Accepted:	1	Total Number of Encounter Records Accepted:	1
Total Number of Encounter Lines Rejected:	1	Total Number of Encounter Records Rejected:	0
Total Number of Encounter Lines Submitted:	2	Total Number of Encounter Records Submitted:	1

DME Testing

DME Testing – EDDPPS Edits

- Six (6) new edits were added to the EDDPPS to correctly process DMEPOS Supplier and DME “incident to” services submissions:

Edit #	Category	Disposition	Edit Description
32005	Validation	Reject	Payer ID not DME for DMEMAC Jurisdiction
32010	Validation	Reject	Payer ID not Professional for Local Part B Carrier Jurisdiction
32020	Validation	Reject	DME Provider for Professional Payer ID
32025	Validation	Reject	Professional Provider for DME Payer ID
32030	Validation	Reject	Place of Service is not 11 or 23 for Professional Encounter
32035	Validation	Reject	Place of service is 11 or 23 for DME Encounter

Encounter Data *User Group*

Thursday, August 21, 2012
3:00 P.M. – 4:00 P.M. ET

DMETC02 – “Incident to” Services

- In order to properly identify DME Test Case 2 – “incident to” services, the test case identifier has been revised:
  - In Loop 2300, CLM01, append “DMETC02” to the end of the Plan Encounter ID (CCN)
- Encounters submitted for DMETC02 that have received an “accepted” status may be resubmitted effective Tuesday, August 21, 2012
- Reminders:
  - From the DME Fee Schedule, select any DMEPOS HCPCS code with a ‘JURIS’ (column D) = “D”
  - Ensure a Provider NPI is submitted with Payer ID 80881 or 80882

EDS Implementation Next Steps

Next Steps

EDS Materials/Information	Targeted Date	Comments/Additional Notes
Publication of 2012 Regional Technical Assistance Materials	8/27/2012	Published at www.csscoperations.com
Publication of Companion Guides (Professional, Institutional, and DME)	8/27/2012	Published at www.csscoperations.com
2011 DOS and TOB	8/30/2012	During 8/30 User Group session
Request Deadline for Risk Filtering Logic and MAO-004 Risk Filter Report	8/24/2012 3:00 P.M. EST	Request was submitted to MAOs and other entities on 8/16/2012
Review and Evaluation of Risk Filtering Logic Comments	8/2012 – 11/2012	
Distribution of Enhanced EDPS Reports	9/04/2012	
User Group Dates for 4th Quarter 2012	9/17/2012	

Questions & Answers

Resources

Resources

- CSSC Operations: http://www.csscoperations.com/internet/cssc3.nsf/docsCatHome/CSSC%20Operations
- Encounter Data Outreach Registration: www.tarsc.info
- CMS: www.cms.gov
- EDS Inbox: eds@ardx.net

Resources *(cont'd)*

- X12 Version 5010 Standards: https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/
- CEM/CEDI Technical Reporting Formats: http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp
- Washington Publishing Company: http://www.wpc-edi.com/content/view/817/1

User Group Dates

REMINDER:

- User Group - **Thursday, August 30, 2012** from 3:00 PM – 4:00 PM EST.

September 2012 – Please refer to www.tarsc.info for the 2012 – 2013 User Group schedule.

Closing Remarks